FOCUS Report Part II

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

Items on this page to be reported by: Futures Commission Merchant

SEGREGATION REQUIREMENTS

1. Net ledger balance				
A. Cash			$	7010
B. Securities (at market)			$	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			$	7030
3. Exchange traded options				
A. Add market value of open option contracts purchased on a contract market			$	7032
B. Deduct market value of open option contracts granted (sold) on a contract market			$( )	7033
4. Net equity (deficit) (total of Lines 1, 2, and 3)			$	7040
5. Accounts liquidating to a deficit and accounts with debit balances				
- gross amount	$	7045		
Less: amount offset by customer owned securities	$ ( )	7047	$	7050
6. Amount required to be segregated (add lines 4 and 5)			$	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts		
A. Cash	$ 5,428,449	7070
B. Securities representing investments of customers' funds (at market)	$	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)	$	7090
8. Margins on deposit with derivatives clearing organizations of contract markets		
A. Cash	$	7100
B. Securities representing investments of customers' funds (at market)	$	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)	$	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets	$	7130
10. Exchange traded options		
A. Value of open long option contracts	$	7132
B. Value of open short option contracts	$( )	7133
11. Net equities with other FCMs		
A. Net liquidating equity	$	7140
B. Securities representing investments of customers' funds (at market)	$	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)	$	7170
12. Segregated funds on hand (describe:)	$	7150
13. Total amount in segregation (add lines 7 through 12)	$ 5,428,449	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	$ 5,428,449	7190
15. Management Target Amount for Excess funds in segregation	$ 3,000,000	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	$ 2,428,449	7198

Name of Firm: CANTOR FITZGERALD & CO.

As of: 11/30/24

FOCUS Report Part II

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER SECTION 4D(F) OF THE COMMODITY EXCHANGE ACT

2024-12-20 05:28PM EST
Status: Accepted

Items on this page to be reported by: Futures Commission Merchant

CLEARED SWAPS CUSTOMER REQUIREMENTS

1. Net ledger balance				
A. Cash			$	8500
B. Securities (at market)			$	8510
2. Net unrealized profit (loss) in open cleared swaps			$	8520
3. Cleared swaps options				
A. Market value of open cleared swaps option contracts purchased			$	8530
B. Market value of open cleared swaps option contracts granted (sold)			$ ( )	8540
4. Net equity (deficit) (add lines 1, 2 and 3)			$	8550
5. Accounts liquidating to a deficit and accounts with debit balances				
- gross amount	$	8560		
Less: amount offset by customer owned securities	$ ( )	8570	$	8580
6. Amount required to be segregated for cleared swaps customers (add Lines 4 and 5)			$	8590

FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

7. Deposited in cleared swaps customer segregated accounts at banks		
A. Cash	$	8600
B. Securities representing investment of cleared swaps customers' funds (at market)	$	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	$	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
A. Cash	$	8630
B. Securities representing investment of cleared swaps customers' funds (at market)	$	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	$	8650
9. Net settlement from (to) derivatives clearing organizations	$	8660
10. Cleared swaps options		
A. Value of open cleared swaps long option contracts	$	8670
B. Value of open cleared swaps short option contracts	$ ( )	8680
11. Net equities with other FCMs		
A. Net liquidating equity	$	8690
B. Securities representing investment of cleared swaps customers' funds (at market)	$	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	$	8710
12. Cleared swaps customer funds on hand (describe:)	$	8715
13. Total amount in cleared swaps customer segregation (add Lines 7 through 12)	$	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract Line 6 from Line 13)	$	8730
15. Management target amount for excess funds in cleared swaps segregated accounts	$	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess	$	8770

Name of Firm: CANTOR FITZGERALD & CO.

As of: 11/30/24

FOCUS Report Part II	STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS
	Items on this page to be reported by a: Futures Commission Merchant

2024-12-20 05:28PM EST
Status: Accepted

1. Amount required to be segregated in accordance with 17 CFR 32.6			$	7200
2. Funds/property in segregated accounts				
A. Cash	$	7210		
B. Securities (at market value)	$	7220		
C. Total funds/property in segregated accounts			$	7230
3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)			$	7240

Items on this page to be reported by a: Futures Commission Merchant

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$	7305
1. Net ledger balance - Foreign futures and foreign option trading - All Customers				
A. Cash			$	7315
B. Securities (at market)			$	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			$	7325
3. Exchange traded options				
A. Market value of open option contracts purchased on a foreign board of trade			$	7335
B. Market value of open contracts granted (sold) on a foreign board of trade			$	7337
4. Net equity (deficit)(add lines 1. 2. and 3.)			$	7345
5. Accounts liquidating to a deficit and accounts with				
debit balances - gross amount	$	7351		
Less: amount offset by customer owned securities	$( )	7352	$	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$	7360

Name of Firm: CANTOR FITZGERALD & CO.

As of: 11/30/24

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

2024-12-20 05:28PM EST
Status: Accepted

Items on this page to be reported by: Futures Commission Merchant

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States		$ [7500]	
B. Other banks qualified under 17 CFR. 30.7			
Name(s):	[7510]	$ [7520]	$ [7530]
2. Securities			
A. In safekeeping with banks located in the United States		$ [7540]	
B. In safekeeping with other banks designated by 17 CFR. 30.7			
Name(s):	[7550]	$ [7560]	$ [7570]
3. Equities with registered futures commission merchants			
A. Cash		$ [7580]	
B. Securities		$ [7590]	
C. Unrealized gain (loss) on open futures contracts		$ [7600]	
D. Value of long option contracts		$ [7610]	
E. Value of short option contracts		$( ) [7615]	$ [7620]
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	[7630]		
A. Cash		$ [7640]	
B. Securities		[7650]	
C. Amount due to (from) clearing organizations - daily variation		$ [7660]	
D. Value of long option contracts		$ [7670]	
E. Value of short option contracts		$( ) [7675]	$ [7680]
5. Amounts held by members of foreign boards of trade			
Name(s):	[7690]		
A. Cash		$ [7700]	
B. Securities		$ [7710]	
C. Unrealized gain (loss) on open futures contracts		$ [7720]	
D. Value of long option contracts		$ [7730]	
E. Value of short option contracts		$( ) [7735]	$ [7740]
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	[7750]		$ [7760]
7. Segregated funds on hand (describe:)			$ [7765]
8. Total funds in separate 17 CFR 30.7 accounts			$ [7770]
9. Excess (deficiency) set aside funds for secured amount (Line Item 7770 minus Line Item 7360)			$ [7380]
10. Management target amount for excess funds in separate 17 CFR 30.7 accounts			$ [7780]
11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess			$ [7785]