	SEGREGATION REQUIREMENTS	Octo	ber 31, 2014	October 30, 2014	October 29, 2014	October 28, 2014	October 27, 2014
1	NET LEDGER BALANCE: A.CASH B.SECURITIES [AT MARKET]	\$ \$	- \$	4		•	\$ - \$
2	NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE)	\$	- \$	4	\$ -	\$ -	\$
3	EXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV)	\$ \$	- \$	2	\$ - \$ -		\$ - \$ -
4	NET EQUITY (DEFICIT):[TOTAL OF 1,2,3]	\$	- \$	€'	\$ 22	\$ -	\$
5	ACCOUNTS IN DEFICIT SECURITIES OFFSET ACCOUNTS IN DEFICIT AFTER OFFSET	\$ \$	- \$ - \$ - \$) •			\$ - \$ -
6	AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c]	\$	- \$: # 1	\$	\$ -	\$ -
	FUNDS ON DEPOSIT IN SEGREGATION						
7	DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A.CASH B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH	\$ \$ \$	4,969,921 \$ - \$ - \$		\$ 4,969,921 \$ -	\$ 4,969,921 \$ -	\$ 4,969,921 \$ -
8	D. COLLATERAL ON DEPOSIT IN SEG (FROM TA) MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A.CASH	\$ \$ \$	- \$ - \$ - \$	Sec. 1	\$ - \$ -	\$ - \$ 5	\$ -
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS EXCHANGE TRADED OPTIONS:	\$ \$	- \$	*	\$ = =	\$	\$ \$
11	A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV) NET EQUITIES WITH OTHER FCMs	\$	\$	24:	\$	\$	Ĭ.
12	A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND:	\$	- \$		•	·	\$
	A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT	-					····
13	TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12]	\$	4,969,921 \$	4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921
14	EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6]	\$	4,969,921 \$	4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921

	SEGREGATION REQUIREMENTS	Octo	ober 24, 2014	October 23, 2014	October 22, 2014	October 21, 2014	October 20, 2014
1	NET LEDGER BALANCE:	Φ.		n =	r.	*	¢ ≈
	A.CASH B.SECURITIES [AT MARKET]	\$ \$	≘ ⊒		•	\$ =	
		•					
2	NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE)	\$	8	\$ =	\$	\$ -	\$
3	EXCHANGE TRADED OPTIONS:						
	A. LONG OPTION VALUE (LOV)	\$	9			\$	
	B. SHORT OPTION VALUE (SOV)	\$	#	5 -	\$	\$ -	3 -
4	NET EQUITY (DEFICIT):[TOTAL OF 1,2,3]	\$	<u> </u>	3	\$	\$ =	\$ =
5	ACCOUNTS IN DEFICIT	\$	s :			\$ =	
	SECURITIES OFFSET	\$	= 5		\$ -		250
	ACCOUNTS IN DEFICIT AFTER OFFSET	\$	-	-	\$ -	\$ -	\$
6	AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c]	\$		\$	\$ -	\$ -	\$ -
	FUNDS ON DEPOSIT IN SEGREGATION						
7	DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS:						
	A.CASH	\$	4,969,921				
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]	\$ \$		\$ \$	\$ -	I	\$
	C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA)	Ф	= .	D	D	a	Φ #
8	MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS:	\$	<u> </u>	\$	\$ -	*	\$ -
	A.CASH	\$		\$	\$	•	\$ -
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]	\$		\$ =	\$ -	*	\$ -
0	C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS	\$ \$	± :	•	\$ - \$ -	*	\$ - \$ -
		ā		Ψ	-	Ψ	y
10	A. LONG OPTION VALUE (LOV)	\$	<u>~</u>	\$	\$ ==	\$	\$
	B. SHORT OPTION VALUE (SOV)	\$		\$ ~	\$ =	\$	\$
11	NET EQUITIES WITH OTHER FCMs	Φ.		Φ.		c	c
	A. CASH B. SECURITIES INVESTMENT [AT MARKET]	\$	= :	-	\$	\$	a
	C. SECURITIES CUSTOMER OWNED	\$	-	\$ -	\$	\$	\$
12	SEGREGATED FUNDS ON HAND:	•		•			
	A. CASH						
	B. SECURITIES INVESTMENT [AT MARKET]						
	C. SECURITIES IN VAULT	>					
13	TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12]	\$	4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921
14	EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6]	\$	4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921

	SEGREGATION REQUIREMENTS	(October 17, 2014		October 16, 2014	Oct	ober 15, 2014	0	ctober 14, 2014	October 13, 2014
1	NET LEDGER BALANCE: A.CASH B.SECURITIES [AT MARKET]	\$ \$		\$	U:		æ :		¥ \$	
2	NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE)	\$		\$	22	\$	12:1	\$	= \$:-
3	EXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV)	\$		\$	5 <u>4</u> 7		141		- \$ - \$	
4	NET EQUITY (DEFICIT):[TOTAL OF 1,2,3]	\$.	\$		\$	2	\$	÷ \$	¥:
5	ACCOUNTS IN DEFICIT SECURITIES OFFSET ACCOUNTS IN DEFICIT AFTER OFFSET	\$ \$	(A)	\$ \$	# #	\$			- \$ - \$ - \$	
6	AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c]	\$	1	\$	7 5	\$	2	\$	- \$	
7	FUNDS ON DEPOSIT IN SEGREGATION DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS:									
,	A.CASH	\$	4,969,921	\$	4,969,871	\$	4,969,921	\$	4,969,821 \$	4,969,921
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA)	\$ \$	*	I	255 1789		=		- \$ - \$	
8	MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS:	\$	746	\$		\$	異	*	- \$	
	A.CASH	\$	漢	\$		\$	Ħ		100 \$	
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]	\$	(E) 260	\$ \$		\$ \$	8		- \$ - \$	
g	C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS	\$		\$		\$	-	•	- \$	
	EXCHANGE TRADED OPTIONS:	•		•		•				
	A. LONG OPTION VALUE (LOV)	\$		\$	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	•	=		÷ \$	
	B. SHORT OPTION VALUE (SOV)	\$	(±)	\$	396	\$	Ħ	\$: \$	
11	NET EQUITIES WITH OTHER FCMs A. CASH	\$	520	\$	+	\$	-	\$	·= \$	-
	B. SECURITIES INVESTMENT [AT MARKET]	Ψ		Ψ		Ψ		Ψ	•	
	C. SECURITIES CUSTOMER OWNED	\$		\$	Ē	\$	9	\$	- \$	(重)
12	SEGREGATED FUNDS ON HAND:									
	A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT									
13	TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12]	\$	4,969,921	\$	4,969,921	\$	4,969,921	\$	4,969,921 \$	4,969,921
14	EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6]	\$	4,969,921	\$	4,969,921	\$	4,969,921	\$	4,969,921 \$	4,969,921

	SEGREGATION REQUIREMENTS	Oct	ober 10, 2014	0	ctober 9, 2014	October 8, 2	014	October	7, 2014	Octobe	er 6, 2014
1	NET LEDGER BALANCE: A.CASH B.SECURITIES [AT MARKET]	\$ \$					72 D#		a) Pa	\$ \$	± .≠
2	NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE)	\$	Ų.	\$	= 9	6	4	\$	121	\$	15.
3	EXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV)	\$ \$	Æ.		- 9		-		# #		е <u>к</u> ,
4	NET EQUITY (DEFICIT):[TOTAL OF 1,2,3]	\$	₹	\$	- 9	3	*	\$	2	\$	2
5	ACCOUNTS IN DEFICIT SECURITIES OFFSET ACCOUNTS IN DEFICIT AFTER OFFSET	\$ \$	#: #:		- 9	5	E E			\$ \$	
6	AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c]	\$		\$	- 9	5	¥	\$	2	\$	-
7	FUNDS ON DEPOSIT IN SEGREGATION DEPOSITED IN SECREGATED FUNDS DANK ACCOUNTS.										
1	DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A.CASH	\$	4,969,921	\$	4,969,921	4,96	9,921		,969,921	\$	4,969,921
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA)	\$ \$		\$ \$	= S	•	±		÷	\$ \$	±
8	MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS:	\$		\$	= 5		2	\$	Δ.	\$	Ψ.
	A.CASH R.CECHRITIES REPRESENTING INVESTMENTS OF CUSTOMERS! FUNDS (AT MARKET)	\$		\$ \$	- S		#	\$ \$	# -	\$ \$	# 2
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH	\$		\$	2 9		8 2	\$		\$	≅ ¥
9	SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS	\$	*	1.0	€ \$	5-7/	*	\$	=	\$	*
10	EXCHANGE TRADED OPTIONS:										
	A. LONG OPTION VALUE (LOV)	\$	¥2		≅ \$	•		\$		\$	-
11	B. SHORT OPTION VALUE (SOV) NET EQUITIES WITH OTHER FCMs	\$	-	\$	≈ (Þ	-	\$	-	\$	ř.
11	A. CASH	\$	2	\$	<u> </u>	\$	_	\$	÷	\$	
	B. SECURITIES INVESTMENT [AT MARKET]	*		•		•				·	
12	C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET]	\$		\$	₇₇ - \$	\$	-	\$	Ē	\$	ê
	C. SECURITIES IN VAULT	-									
13	TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12]	\$	4,969,921	\$	4,969,921	4,96	9,921	\$	1,969,921	\$	4,969,921
14	EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6]	\$	4,969,921	\$	4,969,921	4,96	9,921	\$	1,969,921	\$	4,969,921

NET LEDGER BALANCE: A CASH		SEGREGATION REQUIREMENTS	Oct	ober 3, 2014	Oc	tober 2, 2014	0	ctober 1, 2014
S	1	NET LEDGER BALANCE:						
2 NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE) 3 EXCHANGE TRADED OPTIONS: A LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (LOV) C. S.				721	\$			
SEXCHANGE TRADEO OPTIONS:		B.SECURITIES [AT MARKET]	\$	4	\$	*	\$	<u> </u>
A LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV) 4 NET EQUITY (DEFICIT): [TOTAL OF 1,2,3] 5 ACCOUNTS IN DEFICIT SECURITIES OFFSET ACCOUNTS IN DEFICIT AFTER OFFSET 6 AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c] 7 DEPOSITED IN SEGREGATION 7 DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A CASH B SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN TILE ACKSH B ASECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B SECURITIES INVESTMENT [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D EXCHANGE TRADED OPTIONS A LONG OPTION VALUE (GOV) S S T S S S S S S S S S S S S S S S S S	2	NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE)	\$	-	\$		\$	Â
B. SHORT OPTION VALUE (SOV) 4 NET EQUITY (DEFICIT):[TOTAL OF 1,2,3] 5 ACCOUNTS IN DEFICIT SECURITIES IN DEFICIT SECURITIES IN DEFICIT SECURITIES IN DEFICIT AFTER OFFSET 6 AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c] 7 DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A CASH B. SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B. SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS FUNDS [AT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B. SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS FUNDS [AT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B. SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS FUNDS [AT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B. SECURITIES REPRESENTING INVESTMENTS OF CONTRACT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B. SECURITIES WITH OUT AND CONTRACT MARKETS A CASH B. SECURITIES WITH OUT AND CONTRACT MARKETS B. SECURITIES WITH OUT AND CONTRACT MARKETS C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B. SECURITIES WITH OUT ALUE (SOV) C. SECURITIES WITH OTHER FOMS A CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET]	3	EXCHANGE TRADED OPTIONS:						
A NET EQUITY (DEFICIT): [TOTAL OF 1,2,3]		A; LONG OPTION VALUE (LOV)	\$	1 2 0	\$	-50	\$	9
5 ACCOUNTS IN DEFICIT SECURITIES OFFSET ACCOUNTS IN DEFICIT SECURITIES OFFSET ACCOUNTS IN DEFICIT AFTER OFFSET 6 AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c] 5 5 5 5 5 5 FUNDS ON DEPOSIT IN SEGREGATION 7 DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A CASH B SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D COLLATERAL ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A CASH B SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH S SETTLEMENT DUE FROM IT O] CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A CASH S SETTLEMENT DUE FROM IT O] CLEARING ORGINIZATIONS OF CONTRACT MARKETS 10 EXCHANGE TRADED OPTIONS: A L LONG OPTION VALUE (LOV) S S STELLEMENT DUE FROM IT O] CLEARING ORGINIZATIONS OF CONTRACT MARKETS A CASH S SECURITIES WITH OTHER FCMS A CASH S SECURITIES SINVESTMENT [AT MARKET] C SECURITIES SUPESTMENT [AT MARKET]		B. SHORT OPTION VALUE (SOV)	\$	2	\$	28	\$	-
SECURITIES OFFSET \$	4	NET EQUITY (DEFICIT):[TOTAL OF 1,2,3]	\$	3-0	\$	*	\$	
ACCOUNTS IN DEFICIT AFTER OFFSET AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c] FUNDS ON DEPOSIT IN SEGREGATION DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A CASH B SECURTIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA) MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A CASH B. SECURTIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B. SECURTIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B. SECURTIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B. SECURTIES TROPE PROMITO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS A CASH B. SECURTIES INVESTMENT [AT MARKET] C. SECURTIES INVESTMENT [AT MARKET] C	5	ACCOUNTS IN DEFICIT	\$	90	\$	2002	\$	=
### FUNDS ON DEPOSIT IN SEGREGATED [TOTAL OF 4 AND 5c] #### FUNDS ON DEPOSIT IN SEGREGATION #### DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A CASH B SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA) #### MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A CASH B SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS A LONG OPTION VALUE (LOV) B SHORT OPTION VALUE (LOV) B SHORT OPTION VALUE (LOV) C SECURITIES INVESTMENT [AT MARKET] C SECURITIES IN SECURITIES INVESTMENT [AT MARKET] C SECURITIES IN SECURITIES INVESTMENT [AT MARKET] C SECURITIES IN VAULT ###################################		SECURITIES OFFSET	\$	17.0		+	\$	Ē
FUNDS ON DEPOSIT IN SEGREGATION 7 DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A.CASH B. SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA) 8 MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A.CASH B. SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH S. S		ACCOUNTS IN DEFICIT AFTER OFFSET	\$	4	\$	120	\$	÷
7 DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS:	6	AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c]	\$	3	\$	ii)	\$	Ž.
A.CASH B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA) 8 MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A.CASH B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH B. SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH S. SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV) 1NET EQUITIES WITH OTHER FCMS A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET]		FUNDS ON DEPOSIT IN SEGREGATION						
B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA) MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A.CASH B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH S.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS SECURITIES WITH OTHER FOMS A. LONG OPTION VALUE (SOV) S.SECURITIES WITH OTHER FCMS A. CASH SECURITIES INVESTMENT [AT MARKET] C.SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH SECURITIES INVESTMENT [AT MARKET] C.SECURITIES INVESTMENT [AT MARKET]	7	DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS:						
C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA) 8 MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A.CASH B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS SEXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) S. SONT OPTION VALUE (LOV) S. SONT OPTION VALUE (SOV) S. SONT OPTION VALUE (SOV) S. SOLURITIES WITH OTHER FOMS A. CASH S. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET]		A.CASH	\$	4,969,921	\$	4,969,921	\$	4,969,921
D. COLLATERAL ON DEPOSIT IN SEG (FROM TA) MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A. CASH B. SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C. SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS EXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV) NET EQUITIES WITH OTHER FCMS A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED 2 SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET]		B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]		30	\$	-	\$	₩.
A.CASH B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV) SENDRY OPTION VALUE (SOV) SECURITIES WITH OTHER FCMS A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] SEGREGATED \$ 4,969,921 \$ 4,969,921 \$ 4,969,921			\$		\$	·=:	\$	-
B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS DESCRIPTION VALUE FRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV) SCHOOL SSHORT OPT	8	MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS:	\$	127	\$	120	\$	2
C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH 9 SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS 10 EXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV) NET EQUITIES WITH OTHER FCMS A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED 12 SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET] S \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -		A.CASH	\$	560	\$		\$	¥
9 SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS 10 EXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV) 11 NET EQUITIES WITH OTHER FCMS A. CASH A. CASH S. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED 12 SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET] T. SECURITIES INVESTMENT [AT MARKET] S. SECURITIES INVESTMENT [AT MARKET] T. SECURITIES [AT MARKET		B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]	\$	570	\$:52	\$	
EXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV) NET EQUITIES WITH OTHER FCMS A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921			\$	20	\$	720	\$	=
A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV) NET EQUITIES WITH OTHER FCMS A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921			\$	39 3	\$	(40)	\$	*
B. SHORT OPTION VALUE (SOV) NET EQUITIES WITH OTHER FCMs A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921			\$	20	\$	(a)	\$	골 -
11 NET EQUITIES WITH OTHER FCMs A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921				597	\$	(m)	\$	*
A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921	11							
C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921			\$	21	\$	(2)	\$	=
C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921		B. SECURITIES INVESTMENT [AT MARKET]						
A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921			\$	553	\$		\$	ā
B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921	12	SEGREGATED FUNDS ON HAND:						
C. SECURITIES IN VAULT 13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921		A. CASH						
13 TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921		B. SECURITIES INVESTMENT [AT MARKET]						
		C. SECURITIES IN VAULT	-					
14 EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6] \$ 4,969,921 \$ 4,969,921 \$ 4,969,921	13	TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12]	\$	4,969,921	\$	4,969,921	\$	4,969,921
	14	EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6]	\$	4,969,921	\$	4,969,921	\$	4,969,921