	SEGREGATION REQUIREMENTS	Septer	mber 30, 2014	September 29, 2014	September 26, 2014	September 25, 2014
1	NET LEDGER BALANCE: A.CASH B.SECURITIES [AT MARKET]	\$ \$	⊕ \$		7	\$:=:
2	NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE)	\$	- 9	\$ -	\$	\$
3	EXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV)	\$ \$	÷ (*	\$ -
4	NET EQUITY (DEFICIT):[TOTAL OF 1,2,3]	\$	* :	\$	\$	\$
5	ACCOUNTS IN DEFICIT SECURITIES OFFSET ACCOUNTS IN DEFICIT AFTER OFFSET	\$ \$ \$	(#) (#) (\$ (#) (\$	\$ -		\$ - \$ -
6	AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c]	\$	- 1	\$ <u>-</u>	\$ -	\$ -
	FUNDS ON DEPOSIT IN SEGREGATION					
7	DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A.CASH	\$	4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA)	\$ \$	121	\$ \$	\$	\$ = 3 = 3 = 3 = 3 = 3 = 3 = 3 = 3 = 3 =
8	MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS:	\$	12	\$	\$ -	\$ ==
	A.CASH	\$		\$	\$	· \$
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]	\$		\$ \$	\$ - \$ -	\$ \$
	C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS EXCHANGE TRADED OPTIONS:	\$		\$ -	1000	\$
, 0	A. LONG OPTION VALUE (LOV)	\$	4	\$ -	*	\$
4.4	B. SHORT OPTION VALUE (SOV)	\$	#:	\$	\$	\$
11	NET EQUITIES WITH OTHER FCMs A. CASH B. SCOURITIES INVESTMENT (AT MARKET)	\$	2	\$	\$	\$
12	B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET]	\$		\$ -	\$	\$
	C. SECURITIES IN VAULT					
13	TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12]	\$	4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921
14	EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6]	\$	4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921

	SEGREGATION REQUIREMENTS	Sep	tember 24, 2014	September 23, 2014	September 22, 2014	September 19, 2014
1	NET LEDGER BALANCE:					
	A.CASH	\$: <u>*</u>			\$
	B,SECURITIES [AT MARKET]	\$	∂ <u>2</u> 6 1.	\$	\$	\$
2	NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE)	\$.e. 1	=	\$	\$
3	EXCHANGE TRADED OPTIONS:					
	A. LONG OPTION VALUE (LOV)	\$		\$ = =		
	B. SHORT OPTION VALUE (SOV)	\$	321	\$	\$ -	\$
4	NET EQUITY (DEFICIT):[TOTAL OF 1,2,3]	\$	-: 15	, n	\$	\$
5	ACCOUNTS IN DEFICIT	\$	· · ·	-	\$	\$ -
	SECURITIES OFFSET	\$	THE .			\$ -
	ACCOUNTS IN DEFICIT AFTER OFFSET	\$	14	-	\$	\$
6	AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c]	\$	-	\$ п	\$ -	\$
7	DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A.CASH B. DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS:	\$	4,969,921			\$ 4,969,921 \$
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA)	\$ \$		\$ \$	•	\$
0	MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS:	\$	5	\$	\$	\$
0	A.CASH	\$		-	*	\$ =
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]	\$			*	\$ -
	C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH	\$			•	\$
	SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS	\$		\$	*	\$
10	EXCHANGE TRADED OPTIONS:	\$		\$	\$	¢ :::
	A. LONG OPTION VALUE (LOV)	э \$		\$ \$	•	·
4.4	B. SHORT OPTION VALUE (SOV)	Ф	=	-	•	-
1.1	NET EQUITIES WITH OTHER FCMs A. CASH	\$	=	\$	\$ 121	\$
	B. SECURITIES INVESTMENT [AT MARKET]	Ψ	5	Ψ	Ψ	Ψ –
	C. SECURITIES CUSTOMER OWNED	\$	#	\$	\$	\$ -
12	SEGREGATED FUNDS ON HAND:	Ψ		*	•	~
12	A. CASH					
	B. SECURITIES INVESTMENT [AT MARKET]					
	C. SECURITIES IN VAULT	4				
13	TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12]	\$	4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921
	EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6]		4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921

	SEGREGATION REQUIREMENTS	Septer	nber 18, 2014	September 17, 2014	September 16, 2014	September 15, 2014
1	NET LEDGER BALANCE:					
	A.CASH	\$	5	\$	\$	\$
	B.SECURITIES [AT MARKET]	\$		\$	\$	\$
2	NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE)	\$	-	\$	\$	\$ -
3	EXCHANGE TRADED OPTIONS:					
	A. LONG OPTION VALUE (LOV)	\$	*			
	B. SHORT OPTION VALUE (SOV)	\$		\$ ~~	\$	\$ -
4	NET EQUITY (DEFICIT):[TOTAL OF 1,2,3]	\$	*	\$!	\$ -	\$ == (
5	ACCOUNTS IN DEFICIT	\$	#			'
	SECURITIES OFFSET	\$	±			\$
	ACCOUNTS IN DEFICIT AFTER OFFSET	\$	=	\$	\$	\$
6	AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c]	\$		\$ -	\$ -	\$
	FUNDS ON DEPOSIT IN SEGREGATION					
7	DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS:					
	A.CASH	\$	4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]	\$		\$ = -	\$	\$
	C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH	\$	끌	\$	\$	\$
	D. COLLATERAL ON DEPOSIT IN SEG (FROM TA)					
8	MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS:	\$	ā.	\$ ==	\$	\$ -
	A.CASH	\$	2	\$	\$ =	\$ -
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]	\$	*	\$ =	\$	\$
	C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH	\$		\$ -	\$	\$ -
9	SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS	\$	2	\$ =	\$ 849	\$ -
10	EXCHANGE TRADED OPTIONS:					
	A. LONG OPTION VALUE (LOV)	\$	ē.	\$ -	\$	\$
	B. SHORT OPTION VALUE (SOV)	\$	2	\$ =	\$	\$
11	NET EQUITIES WITH OTHER FCMs					
	A. CASH	\$	-	\$ -	\$	\$
	B. SECURITIES INVESTMENT [AT MARKET]					
	C. SECURITIES CUSTOMER OWNED	\$	*	\$ =	\$:=:	\$ =
12	SEGREGATED FUNDS ON HAND:					
	A. CASH					
	B. SECURITIES INVESTMENT [AT MARKET]					
	C. SECURITIES IN VAULT	4				
13	TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12]	\$	4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921
	EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6]		4,969,921	\$ 4,969,921	\$ 4,969,921	\$ 4,969,921

	SEGREGATION REQUIREMENTS	Septeml	per 12, 2014	September 11, 2014	September 10, 2014	September 9, 2014	September 8, 2014
1	NET LEDGER BALANCE: A.CASH B.SECURITIES [AT MARKET]	\$ \$	- \$ - \$	ස/ : ක: :			
2	NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE)	\$	 \$	#U	\$ = 5	- 5	-
3	EXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV)	\$ \$	-: \$ -: \$	ණ. කා			
4	NET EQUITY (DEFICIT):[TOTAL OF 1,2,3]	\$	- : \$, €9 .	\$ - 5	m 5	5 <u>+</u>
5	ACCOUNTS IN DEFICIT SECURITIES OFFSET ACCOUNTS IN DEFICIT AFTER OFFSET	\$ \$	- \$ - \$ - \$	# E	\$	- 5	-
6	AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c]	\$	- \$		- :	- 5	j E
-	FUNDS ON DEPOSIT IN SEGREGATION						
7	DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A.CASH B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH	\$ \$ \$	4,969,921 \$ 5 \$	4,969,921 - -	\$	\$ 4,969,521 S \$ = S \$ = S	•
8	D. COLLATERAL ON DEPOSIT IN SEG (FROM TA) MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A.CASH B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET] C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH	\$ \$ \$	- \$ - \$ - \$		\$ \$	5 400 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	-
		\$	- \$ - \$		\$	중점	
11	B. SHORT OPTION VALUE (SOV) NET EQUITIES WITH OTHER FCMs	\$	- \$	3		= :	
''	A. CASH B. SECURITIES INVESTMENT [AT MARKET]	\$	= \$	<u>.</u>	\$	\$ = :	-
12	C. SECURITIES INVESTMENT [AT MARKET] SEGREGATED FUNDS ON HAND: A. CASH B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT	\$	- \$	•	\$	\$ - 5	\$ e
13	TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12]	\$	4,969,921 \$	4,969,921	\$ 4,969,921	\$ 4,969,921	4,969,921
14	EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6]	\$	4,969,921 \$	4,969,921	\$ 4,969,921	\$ 4,969,921	4,969,921

(

	SEGREGATION REQUIREMENTS	Septe	mber 5, 2014	September 4, 2014	September 3, 2014	September 2, 2014	September 1, 2014
1	NET LEDGER BALANCE: A.CASH B.SECURITIES [AT MARKET]	\$ \$	- \$ - \$				
2	NET UNREALIZED PROFIT (LOSS) IN OPEN CONTRACTS (OTE)	\$	m \$	\$	- \$	5 5	
3	EXCHANGE TRADED OPTIONS: A. LONG OPTION VALUE (LOV) B. SHORT OPTION VALUE (SOV)	\$	- \$ - \$	- \$ - \$			
4	NET EQUITY (DEFICIT):[TOTAL OF 1,2,3]	\$		- \$	- \$	= 4	
5	ACCOUNTS IN DEFICIT SECURITIES OFFSET ACCOUNTS IN DEFICIT AFTER OFFSET	\$ \$	# \$ # \$	(E)	5 \$	3	<u> </u>
6	AMOUNT REQUIRED TO BE SEGREGATED [TOTAL OF 4 AND 5c]	\$	- \$	- \$	- \$	- 9	
	FUNDS ON DEPOSIT IN SEGREGATION						Đ.
7	DEPOSITED IN SEGREGATED FUNDS BANK ACCOUNTS: A.CASH B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]	\$ \$	4,969,921 \$ = \$				
	C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH D. COLLATERAL ON DEPOSIT IN SEG (FROM TA)	\$		3	= \$, a	:=:
8	MARGINS ON DEPOSIT WITH CLEARING ORGANIZATIONS OF CONTRACT MARKETS: A.CASH	\$ \$	- \$ - \$				
	B.SECURITIES REPRESENTING INVESTMENTS OF CUSTOMERS' FUNDS [AT MARKET]	\$	- \$	S			· ·
	C.SEC HELD FOR PARTICULAR CUSTOMERS IN LIEU OF CASH	\$	- \$				
	SETTLEMENT DUE FROM [TO] CLEARING ORGINIZATIONS OF CONTRACT MARKETS EXCHANGE TRADED OPTIONS:	\$	- \$	- 1	- \$	= \$	-
	A. LONG OPTION VALUE (LOV)	\$	- \$				
11	B. SHORT OPTION VALUE (SOV) NET EQUITIES WITH OTHER FCMs	\$	- \$	· • •	5	= 9	:=:
	A. CASH B. SECURITIES INVESTMENT [AT MARKET]	\$	- \$	4	= \$	= 3	
12	C. SECURITIES CUSTOMER OWNED SEGREGATED FUNDS ON HAND: A. CASH	\$	= \$	- \$	S = \$	S = 1	<u>i</u> ≘:
	B. SECURITIES INVESTMENT [AT MARKET] C. SECURITIES IN VAULT	_					
13	TOTAL AMOUNT IN SEGREGATION [TOTAL OF 7 THROUGH 12]	\$	4,969,921 \$	4,969,921	4,969,921	4,969,921	4,969,922
14	EXCESS (INSUFFICIENCY) FUNDS IN SEGREGATION [13 MINUS 6]	\$	4,969,921 \$	4,969,921	4,969,921	4,969,921	4,969,922