

SUPPLEMENT TO
 FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
 PART II

BROKER OR DEALER
 CANTOR FITZGERALD & CO.

as of 07/31/17

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
 FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$		7010
B. Securities (at market)			7020
2. Net unrealized profit (loss) in open futures contracts			7030
traded on a contract market			
3. Exchange traded options			
A. Add market value of open option contracts purchased on a			7032
contract market			
B. Deduct market value of open option contracts granted (sold)	(7033
on a contract market)		
4. Net equity (deficit) (add lines 1, 2, and 3)			7040
5. Accounts liquidating to a deficit and accounts with debit			
balances			
- gross amount		7045	
Less: amount offset by customer owned securities	(7047	7050
6. Amount required to be segregated (add lines 4 and 5)	\$		7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		4,969,921	7070
B. Securities representing investments of customers' funds			7080
(at market)			
C. Securities held for particular customers or option customers			7090
in lieu of cash (at market)			
8. Margins on deposit with derivatives clearing organizations			
of contract markets			
A. Cash	\$		7100
B. Securities representing investments of customers' funds			7110
(at market)			
C. Securities held for particular customers or option customers			7120
in lieu of cash (at market)			
9. Net settlement from (to) derivatives clearing organizations			7130
of contract markets			
10. Exchange traded options			
A. Value of open long option contracts			7132
B. Value of open short option contracts	(7133
11. Net equities with other FCMs			
A. Net liquidating equity			7140
B. Securities representing investments of customers' funds			7160
(at market)			
C. Securities held for particular customers or option customers			7170
in lieu of cash (at market)			
12. Segregated funds on hand (describe: _____)			7150
13. Total amount in segregation (add lines 7 through 12)		4,969,921	7180
14. Excess (deficiency) funds in segregation (subtract line 6	\$	4,969,921	7190
from line 13)			
15. Management Target Amount for Excess funds in segregation	\$	3,000,000	7194
16. Excess (deficiency) funds in segregation over (under) Management Target	\$	1,969,921	7198
Amount Excess			

SUPPLEMENT TO
 FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
 PART II

2017-08-23 05:26PM EDT
 Status: Accepted

BROKER OR DEALER CANTOR FITZGERALD & CO.	as of <u>07/31/17</u>
---	-----------------------

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
 PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ _____	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash		\$ _____	7315
B. Securities (at market)		_____	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		_____	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		_____	7335
B. Market value of open contracts granted (sold) on a foreign board of trade		_____	7337
4. Net equity (deficit) (add lines 1, 2, and 3.)		\$ _____	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ _____		7351
Less: amount offset by customer owned securities	(_____)		7352
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)		\$ _____	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.		\$ _____	7360

SUPPLEMENT TO
 FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
 PART II

BROKER OR DEALER CANTOR FITZGERALD & CO.	as of <u>07/31/17</u>
---	-----------------------

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
 PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks				
A. Banks located in the United States	\$			7500
B. Other banks qualified under Regulation 30.7				
Name(s):		7510	7520	\$ 7530
2. Securities				
A. In safekeeping with banks located in the United States	\$			7540
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s):		7550	7560	7570
3. Equities with registered futures commission merchants				
A. Cash	\$			7580
B. Securities				7590
C. Unrealized gain (loss) on open futures contracts				7600
D. Value of long option contracts				7610
E. Value of short option contracts	(7615) 7620
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s):		7630		
A. Cash	\$			7640
B. Securities				7650
C. Amount due to (from) clearing organizations - daily variation				7660
D. Value of long option contracts				7670
E. Value of short option contracts	(7675) 7680
5. Amounts held by members of foreign boards of trade				
Name(s):		7690		
A. Cash	\$			7700
B. Securities				7710
C. Unrealized gain (loss) on open futures contracts				7720
D. Value of long option contracts				7730
E. Value of short option contracts	(7735) 7740
6. Amounts with other depositories designated by a foreign board of trade				
Name(s):		7750		7760
7. Segregated funds on hand (describe: _____)				7765
8. Total funds in separate section 30.7 accounts	\$			7770
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)	\$			7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts	\$			7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	\$			7785

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

CANTOR FITZGERALD & CO.

as of

07/31/17

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance		\$		8500
A. Cash				8510
B. Securities (at market)				8520
2. Net unrealized profit (loss) in open cleared swaps				8530
3. Cleared swaps options				8540
A. Market value of open cleared swaps option contracts purchased				8550
B. Market value of open cleared swaps option contracts granted (sold)				8560
4. Net equity (deficit) (add lines 1, 2, and 3)		\$		8570
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount		\$	8580	
Less: amount offset by customer owned securities			8590	
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$		8600

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks		\$		8610
A. Cash				8620
B. Securities representing investments of cleared swaps customers' funds (at market)				8630
C. Securities held for particular cleared swaps customers in lieu of cash (at market)				8640
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts				8650
A. Cash				8660
B. Securities representing investments of cleared swaps customers' funds (at market)				8670
C. Securities held for particular cleared swaps customers in lieu of cash (at market)				8680
9. Net settlement from (to) derivatives clearing organizations				8690
10. Cleared swaps options				8700
A. Value of open cleared swaps long option contracts				8710
B. Value of open cleared swaps short option contracts				8715
11. Net equities with other FCMs				8720
A. Net liquidating equity				8730
B. Securities representing investments of cleared swaps customers' funds (at market)				8740
C. Securities held for particular cleared swaps customers in lieu of cash (at market)				8750
12. Cleared swaps customer funds on hand (describe: _____)				8760
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$		8770
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$		8780
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$		8790
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$		8800