SUPPLEMENT TO

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

CANTOR FITZGERALD & CO.

as of _____04/30/21_____

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance

  A. Cash $ _____ |7010|

  B. Securities (at market)   _____ |7020|

2. Net unrealized profit (loss) in open futures contracts   traded on a contract market   _____ |7030|

3. Exchange traded options

  A. Add market value of open option contracts purchased on a   contract market   _____ |7032|

  B. Deduct market value of open option contracts granted (sold)   on a contract market   (_____) |7033|

4. Net equity (deficit) (add lines 1, 2, and 3)   _____ |7040|

5. Accounts liquidating to a deficit and accounts with debit   balances

  - gross amount   _____ |7045|

  Less: amount offset by customer owned securities   (_____) |7047| _____ |7050|

6. Amount required to be segregated (add lines 4 and 5) $ _____ |7060|

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts

  A. Cash   _____4,969,921 |7070|

  B. Securities representing investments of customers' funds   (at market)   _____ |7080|

  C. Securities held for particular customers or option customers   in lieu of cash (at market)   _____ |7090|

8. Margins on deposit with derivatives clearing organizations   of contract markets

  A. Cash $ _____ |7100|

  B. Securities representing investments of customers' funds   (at market)   _____ |7110|

  C. Securities held for particular customers or option customers   in lieu of cash (at market)   _____ |7120|

9. Net settlement from (to) derivatives clearing organizations   of contract markets   _____ |7130|

10. Exchange traded options

  A. Value of open long option contracts   _____ |7132|

  B. Value of open short option contracts   (_____) |7133|

11. Net equities with other FCMs

  A. Net liquidating equity   _____ |7140|

  B. Securities representing investments of customers' funds   (at market)   _____ |7160|

  C. Securities held for particular customers or option customers   in lieu of cash (at market)   _____ |7170|

12. Segregated funds on hand (describe: _____)   _____ |7150|

13. Total amount in segregation (add lines 7 through 12)   _____4,969,921 |7180|

14. Excess (deficiency) funds in segregation (subtract line 6   from line 13) $ _____4,969,921 |7190|

15. Management Target   Amount for Excess funds in segregation $ _____3,000,000 |7194|

16. Excess   (deficiency) funds in segregation over (under) Management Target   Amount Excess $ _____1,969,921 |7198|

BROKER OR DEALER

CANTOR FITZGERALD & CO.

as of _____ 04/30/21 _____

STATEMENT OF SECURED   AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION   REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or   regulation of a foreign government
or a rule of a self-regulatory organization authorized   thereunder

$ _____ 7305

1. Net ledger balance -   Foreign Futures and Foreign Option Trading - All Customers

  A. Cash

$ _____ 7315

  B. Securities   (at market)

_____ 7317

2. Net   unrealized profit   (loss) in open futures contracts traded on a foreign   board of trade

_____ 7325

3. Exchange traded options

  A. Market value of open   option contracts purchased on a foreign board of trade

_____ 7335

  B. Market value   of open contracts granted (sold) on a foreign board of trade

_____ 7337

4. Net equity (deficit)   (add lines 1. 2. and 3.)

$ _____ 7345

5. Accounts liquidating to   a deficit and accounts with

  debit balances - gross   amount

$ _____ 7351

  Less: amount offset by   customer owned securities

(_____) 7352   _____ 7354

6. Amount   required to be set aside as the secured amount - Net Liquidating   Equity Method (add lines 4 and 5)

$ _____ 7355

7. Greater of amount   required to be set aside pursuant to foreign jurisdiction (above) or   line 6.

$ _____ 7360

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BROKER OR DEALER

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as of   04/30/21

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE   ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

   A. Banks located in the United States $ _____ 7500

   B. Other banks qualified under Regulation 30.7

       Name(s): _____ 7510   _____ 7520 $ _____ 7530

2. Securities

   A. In safekeeping with banks located in the United States $ _____ 7540

   B. In safekeeping with other banks qualified under Regulation   30.7

       Name(s): _____ 7550   _____ 7560 _____ 7570

3. Equities with registered futures commission merchants

   A. Cash $ _____ 7580

   B. Securities   _____ 7590

   C. Unrealized gain (loss) on open futures contracts   _____ 7600

   D. Value of long option contracts   _____ 7610

   E. Value of short option contracts   (_____) 7615 _____ 7620

4. Amounts held by clearing organizations of foreign boards of   trade

       Name(s): _____ 7630

   A. Cash $ _____ 7640

   B. Securities   _____ 7650

   C. Amount due to (from) clearing organizations - daily   variation   _____ 7660

   D. Value of long option contracts   _____ 7670

   E. Value of short option contracts   (_____) 7675 _____ 7680

5. Amounts held by members of foreign boards of trade

       Name(s): _____ 7690

   A. Cash $ _____ 7700

   B. Securities   _____ 7710

   C. Unrealized gain (loss) on open futures contracts   _____ 7720

   D. Value of long option contracts   _____ 7730

   E. Value of short option contracts   (_____) 7735 _____ 7740

6. Amounts with other depositories designated by a foreign   board of trade

       Name(s): _____ 7750 _____ 7760

7. Segregated funds on hand (describe: _____) _____ 7765

8. Total funds in separate section 30.7 accounts $ _____ 7770

9. Excess (deficiency) set   Aside Funds for Secured Amount (subtract Line 7 Secured

    Statement page T10-3 from   Line 8) $ _____ 7380

10. Management Target   Amount for Excess funds in separate section 30.7 accounts $ _____ 7780

11. Excess (deficiency)   funds in separate 30.7 accounts over (under) Management Target $ _____ 7785

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL   COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER	as of _____ 04/30/21 _____
CANTOR FITZGERALD & CO.	

STATEMENT OF CLEARED   SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS   CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

<u>Cleared Swaps Customer Requirements</u>

1. Net ledger balance

   A. Cash $ _____ | 8500 |

   B. Securities   (at market)   _____ | 8510 |

2. Net unrealized profit   (loss) in open cleared swaps   _____ | 8520 |

3. Cleared swaps options

   A. Market value   of open   cleared swaps option contracts purchased   _____ | 8530 |

   B. Market value   of   open   cleared swaps option contracts granted (sold)   (_____) | 8540 |

4. Net equity   (deficit)   (add lines 1, 2, and 3) $ _____ | 8550 |

5. Accounts liquidating to   a deficit and accounts with

   debit balances - gross   amount $ _____ | 8560 |

   Less: amount   offset by customer owned securities   (_____) | 8570 | _____ | 8580 |

6. Amount required to be   segregated for cleared swaps customers (add lines 4 and 5) $ _____ | 8590 |

<u>Funds in Cleared Swaps Customer Segregated Accounts</u>

7. Deposited in cleared   swaps customer segregated accounts at banks

   A. Cash $ _____ | 8600 |

   B. Securities   representing   investments of cleared swaps customers' funds (at   market)   _____ | 8610 |

   C. Securities held for   particular cleared swaps customers in lieu of cash (at market)   _____ | 8620 |

8. Margins on deposit with   derivatives clearing organizations in cleared swaps customer   segregated accounts

   A. Cash   _____ | 8630 |

   B. Securities   representing investments of cleared swaps customers' funds (at   market)   _____ | 8640 |

   C. Securities   held for particular cleared swaps customers in lieu of cash (at   market)   _____ | 8650 |

9. Net   settlement from (to) derivatives clearing organizations   _____ | 8660 |

10. Cleared swaps options

   A. Value of open cleared   swaps long option contracts   _____ | 8670 |

   B. Value of   open cleared swaps short option contracts   (_____) | 8680 |

11. Net equities with   other FCMs

   A. Net liquidating equity   _____ | 8690 |

   B. Securities   representing investments of cleared swaps customers' funds (at   market)   _____ | 8700 |

   C. Securities   held for   particular cleared swaps customers in lieu of cash (at   market)   _____ | 8710 |

12. Cleared   swaps customer funds on hand (describe: _____)   _____ | 8715 |

13. Total amount in   cleared swaps customer segregation (add lines 7 through 12) $ _____ | 8720 |

14. Excess   (deficiency) funds in cleared swaps customer segregation (subtract   line 6 from line 13) $ _____ | 8730 |

15. Management Target   Amount for Excess funds in cleared swaps segregated accounts $ _____ | 8760 |

16. Excess   (deficiency) funds in cleared swaps customer segregated accounts over

   (under)   Management Target Excess $ _____ | 8770 |