

CANTOR FITZGERALD AND CO
SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7

	July 2, 2014	July 1, 2014
<u>FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS</u>		
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$ -	\$ -
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
A. Cash	\$ -	\$ -
B. Securities (at market)	\$ -	\$ -
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ -	\$ -
3. Exchange traded options		
A. Market value of open option contracts purchased on a foreign board of trade	\$ -	\$ -
B. Market value of open contracts granted (sold) on a foreign board of trade	\$ -	\$ -
4. Net equity (deficit) (add lines 1, 2, and 3.)	\$ -	\$ -
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ -	\$ -
Less: amount offset by customer owned securities	<u>\$ -</u>	<u>\$ -</u>
6. Amount required to be set aside as the secured amount - Net Liquidatin Equity Method (add lines 4 and 5)	<u>\$ -</u>	<u>\$ -</u>
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	<u>\$ -</u>	<u>\$ -</u>
<u>FUNDS DEPOSITED IN SEPARATE REGUALTION 30.7 ACCOUNTS</u>		
1. Cash In banks		
A. Banks located in the United States	\$ -	\$ -
B. Other banks qualified under Regulation 30.7	<u>\$ -</u>	<u>\$ -</u>
2. Securities		
A. In safekeeping with banks located in the United States	\$ -	\$ -
B. In safekeeping with other banks qualified under Regulation 30.7	<u>\$ -</u>	<u>\$ -</u>
3. Equities with registered futures commission merchants		
A. Cash	\$ -	\$ -
B. Securities	\$ -	\$ -
C. Unrealized gain (loss) on open futures contracts	\$ -	\$ -
D. Value of long option contracts	\$ -	\$ -
E. Value on short option contracts	<u>\$ -</u>	<u>\$ -</u>
4. Amounts held by clearing organizations of foreign boards of trade		
A. Cash	\$ -	\$ -
B. Securities	\$ -	\$ -
C. Amount due to (from) clearing organizations - daily variation	\$ -	\$ -
D. Value of long option contracts	\$ -	\$ -
E. Value on short option contracts	<u>\$ -</u>	<u>\$ -</u>
5. Amounts held by members of foreign boards of trade		
A. Cash	\$ -	\$ -
B. Securities	\$ -	\$ -
C. Unrealized gain (loss) on open futures contracts	\$ -	\$ -
D. Value of long option contracts	\$ -	\$ -
E. Value on short option contracts	<u>\$ -</u>	<u>\$ -</u>
6. Amounts with other depositories designated by a foreign board of trade	\$ -	\$ -
7. Segregated funds on hand	\$ -	\$ -
8. Total Funds in separate section 30.7 accounts	<u>\$ -</u>	<u>\$ -</u>
9. Excess (deficiency) set Aside Funds for Secured Amount	<u>\$ -</u>	<u>\$ -</u>
10. Management Targer Amount for Excess funds in separate section 30.7 accounts	<u>\$ -</u>	<u>\$ -</u>
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<u>\$ -</u>	<u>\$ -</u>